Hard Proceses in Pertrubative QCD

(An introduction/historic review for pp scattering)

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OUTLINE

- Introduction: From the quark model to QCD
- Self-consistency: antiquarks in hadron-hadron scattering
- How we get away with pQCD: IR safety, factorization, evolution, resummation
- Inclusive annihilation in pQCD
- Using pQCD Corrections
- Getting PDFs from the data
- Using resummation: the Q_T distribution
- Putting it all together: pions and jets in hadronic collisions
- Conclusion as prologue

INTRODUCTION: FROM QUARKS TO QCD

- Spectroscopy and the quark model
 - The discovery of quarks: qqq and $\bar{q}q$ with q=u,d,s generate observed spectrum of baryons and mesons
 - Decay of $\bar{s}s$ states to K, \bar{K} states (OZI rule) indicates continuity of quark lines
 - Non-relativistic wave functions \rightarrow ratios of magnetic moments μ_n/μ_p etc.

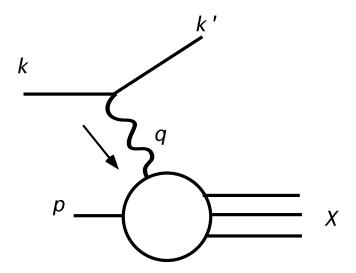
- Dynamical evidence: form factors & structure functions
 - Form factors: $ep \rightarrow ep$ elastic

$$\frac{d\sigma}{d\Omega_e} = \left[\frac{\alpha_{\rm EM}^2 \cos^2(\theta/2)}{4E^2 \sin^4(\theta/2)} \right] \frac{E'}{E} \left(\frac{|G_E(Q)|^2 + \tau |G_M(Q)|^2}{1+\tau} + 2\tau |G_M(Q)|^2 \tan^2\theta/2 \right)$$

– schematically:

$$\frac{d\sigma_{\rm ep\to ep}(Q)}{dQ^2} \sim \frac{d\sigma_{\rm ee\to ee}(Q)}{dQ^2} \times G(Q) \quad \text{with} \quad G(Q) \sim \frac{1}{\left(1 + \frac{Q^2}{\mu_0^2}\right)^2}$$

- Structure functions: ep inclusive



$$\frac{d\sigma}{dE'\,d\Omega} = \left[\frac{\alpha_{\rm EM}^2}{2SE\sin^4(\theta/2)}\right] \left(2\sin^2(\theta/2)F_1(x,Q^2) + \frac{m\cos^2(\theta/2)}{E-E'}F_2(x,Q^2)\right)$$

with
$$x = \frac{Q^2}{2p_N \cdot q}$$

- Scaling: $F_2(x,Q^2) \sim F_2(x) \Rightarrow$ Point-like, quasi-free scattering

-
$$F_2 \sim 2xF_1$$
: Spin-1/2

- Parton model structure functions

$$F_{2,N}(x) = \sum_{q} e_q^2 x f_{a/N}(x)$$

- Notation: $f_{u/N} = u_N$ etc.

- At the same time, a quark model paradox ⇒ color
 - First of all, nobody had seen a quark (confinement), but also
 - A problem with the quark model: quarks have spin-1/2 but nucleon quark model wave function was symmetric
- But spin-1/2 particles are all fermions right?
- Fast-forward resolution:
 - Han, Nambu 1965: quarks come in 3 triplets of different colors
 - Quarks in baryons are antisymmetric in quantum number of the group SU(3)

- The birth of QCD: SU(3)
 - A nonabelian gauge theory built on color $(q = q_1q_2q_3)$:

$$\mathcal{L}_{QCD} = \sum_{q} \bar{q} (i \partial \!\!\!/ - g_s \!\!\!/ A + m_q) q - \frac{1}{4} F_{\mu\nu}^2 [A]$$

- Think of: $\mathcal{L}_{EM} = K_e + J_{EM} \cdot A + (E^2 B^2)$
- The Yang-Mills gauge theory of quarks (q) and gluons (A)
 Gluons: like "charged photons". The field is a source for itself.
- Just the right currents to couple to EM and Weak AND . . .

 Just the right kind of forces: QCD charge is "antishielded" and grows with distance

 $b_0 = 11 - 2n_{\text{quarks}}/3$ we get:

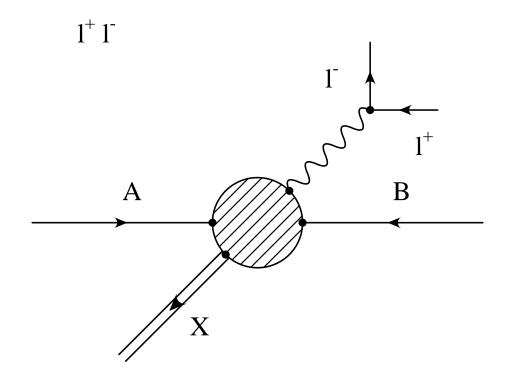
$$\alpha_s(\mu') = \frac{g_s^2}{4\pi} = \frac{\alpha_s(\mu)}{1 + b_0 \frac{\alpha_s(\mu)}{4\pi} \ln\left(\frac{\mu'}{\mu}\right)^2} = \frac{4\pi}{b_0 \ln(Q^2/\Lambda_{\text{QCD}}^2)}$$

Quantum field theory: every state with the same quantum numbers as uud in the proton . . . is present at least some of the time

So antiquarks are in the nucleon: $uudd\bar{d}$, etc.

What it means: $q\bar{q}$ annihilation processes in NN collisions as d,u from one nucleon collides with \bar{d},\bar{u} from another

Annihilation into what? Back to quarks, and gluons, yes, but also



 γ , W, Z, H . . .

Which brings us to . . .

SELF-CONSISTENCY: ANTIQUARKS IN HADRON HADRON SCATTERING

The Inclusive Drell-Yan Cross Section

Parton Model: "Impulse approximation". The template (1970):

$$\frac{d\sigma_{NN\to\mu\bar{\mu}+X}(Q,p_1,p_2)}{dQ^2d\dots} \sim \int d\xi_1 d\xi_2 \sum_{a=q\bar{q}} \frac{d\sigma_{a\bar{a}\to\mu\bar{\mu}}^{EW,Born}(Q,\xi_1p_1,\xi_2p_2)}{dQ^2d\dots}$$

 \times (probability to find parton $a(\xi_1)$ in N)

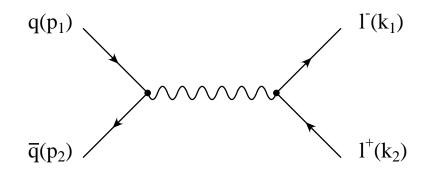
 \times (probability to find parton $\bar{\mathbf{a}}(\xi_2)$ in N)

The probabilities are $f_{q/N}(x)$'s from DIS!

Recall how it works (with colored quarks) ...

The Born cross section

 $\sigma^{\rm EW, Born}$ is all from this diagram (ξ 's set to unity):



With this matrix element

$$M = e_q \frac{e^2}{\hat{s}} \overline{u}(k_1) \gamma_\mu v(k_2) \overline{v}(p_2) \gamma^\mu u(p_1)$$

 \bullet First square and sum/average M. Then evaluate phase space.

Total cross section:

$$\sigma_{q\bar{q}\to\mu\bar{\mu}}^{\text{EW, Born}}(x_1 p_1, x_2 p_2) = \frac{1}{2\hat{s}} \int \frac{d\Omega}{32\pi^2} \frac{e_q^2 e^4}{3} (1 + \cos^2 \theta) \\
= \frac{4\pi\alpha^2}{9M^2} \sum_{q} e_q^2 \equiv \sigma_0(M)$$

With M the pair mass and 3 for color average

Now we're ready for the parton model differential cross section for NN scattering:

Pair mass (M) and rapidity

$$\eta \equiv (1/2) \ln(Q^+/Q^-) = (1/2) \ln[(Q^0 + Q^3)/(Q^0 - Q^3)]$$

overdetermined \rightarrow delta functions in the Born cross section

$$\frac{d\sigma_{NN\to\mu\bar{\mu}+X}^{(PM)}(Q,p_1,p_2)}{dM^2d\eta} = \int_{\xi_1,\xi_2} \sum_{a=q\bar{q}} \sigma_{a\bar{a}\to\mu\bar{\mu}}^{\text{EW, Born}}(\xi_1 p_1, \xi_2 p_2)
\times \delta \left(M^2 - \xi_1 \xi_2 S\right) \delta \left(\eta - \frac{1}{2} \ln\left(\frac{\xi_1}{\xi_2}\right)\right)
\times f_{a/N}(\xi_1) f_{\bar{a}/N}(\xi_2)$$

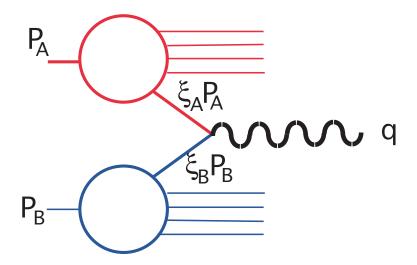
and integrating over rapidity,

$$\frac{d\sigma}{dM^2} = \left(\frac{4\pi\alpha_{\rm EM}^2}{9M^4}\right) \int_0^1 d\xi_1 \, d\xi_2 \, \delta\left(\xi_1 \xi_2 - \tau\right) \, \sum_a \lambda_a^2 \, f_{a/N}(\xi_1) \, f_{\bar{a}/N}(\xi_s)$$

Drell and Yan, 1970 (aside from 1/3 for color)

Analog of DIS: scaling in $\tau = Q^2/S$

• The parton model picture



- All QCD radiation in the f's . . . but why?
- Asymptotic freedom has something to do with this . . .
 but how? What to do in QFT?

HOW WE GET AWAY WITH PQCD

- Specific problems for perturbation theory in QCD
 - 1. Confinement

$$\int e^{-iq\cdot x} \langle 0 | T[\phi_a(x) \dots] | 0 \rangle$$

has no $q^2 = m^2$ pole for ϕ_a that transforms nontrivially under color (confinement)

2. The pole at $p^2=m_\pi^2$

$$\int e^{-iq\cdot x} \langle 0 | T[\pi(x) \dots] | 0 \rangle$$

is not accessible to perturbation theory (χ SB etc., etc.)

• And yet we use infrared safety & asymptotic freedom:

$$Q^{2} \hat{\sigma}_{SD}(Q^{2}, \mu^{2}, \alpha_{s}(\mu)) = \sum_{n} c_{n}(Q^{2}/\mu^{2}) \alpha_{s}^{n}(\mu) + \mathcal{O}(1/Q^{p})$$
$$= \sum_{n} c_{n}(1) \alpha_{s}^{n}(Q) + \mathcal{O}(1/Q^{p})$$

- What can we really calculate? PT for color singlet operators
 - $-\int e^{-iq\cdot x}\langle 0|T[J(x)J(0)\dots]|0\rangle$ for color singlet currents
 - e^+e^- total . . . no QCD in initial state

– Another class of color singlet matrix elements:

$$\lim_{R \to \infty} \int dx_0 \int d\hat{n} f(\hat{n}) e^{-iq \cdot y} \langle 0 | J(0) T[\hat{n}_i \Theta_{0i}(x_0, R\hat{n}) J(y)] | 0 \rangle$$

With Θ_{0i} the energy momentum tensor

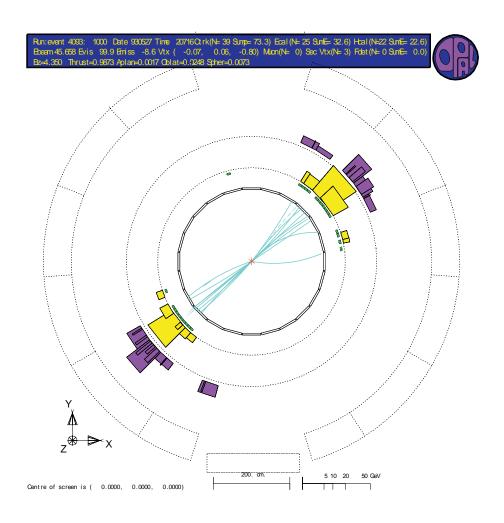
"Weight" $f(\hat{n})$ introduces no new dimensional scale

Short-distance dominated if all $d^k f/d\hat{n}^k$ bounded

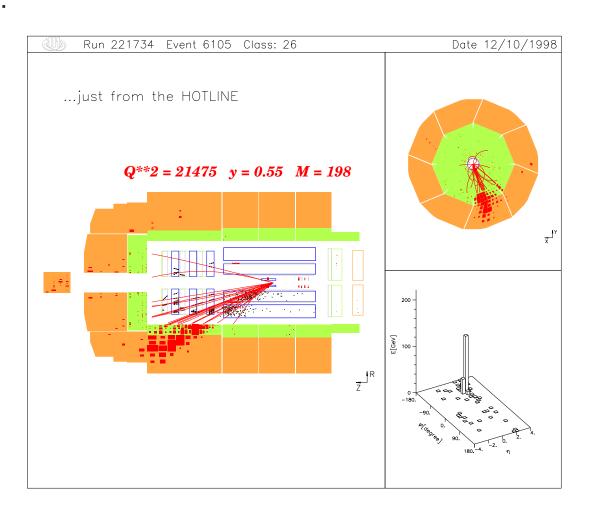
Individual final states have IR divergences, but these cancel in sum over collinear splitting/merging and soft parton emission because they respect energy flow

• The essence of jet computability

• For e⁺e⁻:

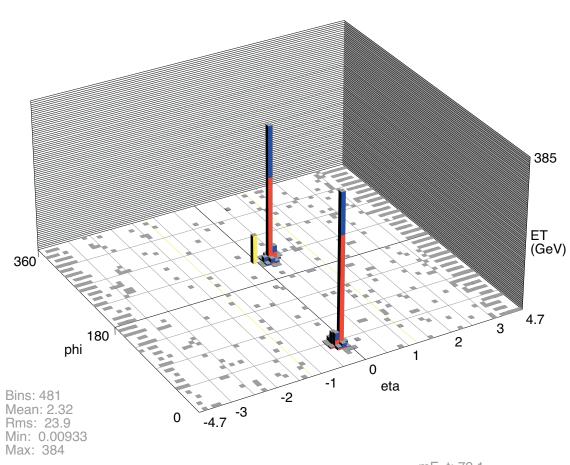


• And for DIS:



• And in nucleon-nucleon collisions

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mE_t: 72.1 phi_t: 223 deg But what of the initial state? (viz. parton model)

Factorization

$$Q^2 \sigma_{\text{phys}}(Q, m) = \omega_{\text{SD}}(Q/\mu, \alpha_s(\mu)) \otimes f_{\text{LD}}(\mu, m) + \mathcal{O}(1/Q^p)$$

- μ = factorization scale; m= IR scale (m may be perturbative)
- New physics in ω_{SD} ; $f_{\mathrm{LD}}=f$ and/or D "universal"
- ep DIS inclusive, $pp \to \text{jets}$, $Q\bar{Q}$, $\pi(p_T)$. . .
- Exclusive limits: $e^+e^- \rightarrow JJ$ as $m_J \rightarrow 0$

Whenever there is factorization, there is evolution

$$0 = \mu \frac{d}{d\mu} \ln \sigma_{\text{phys}}(Q, m)$$
$$\mu \frac{d \ln(f \text{ or } D)}{d\mu} = -P(\alpha_s(\mu)) = -\mu \frac{d \ln \omega}{d\mu}$$

PDF f or Fragmentation D

Wherever there is evolution there is resummation

$$\ln \sigma_{\text{phys}}(Q, m) = \exp \left\{ \int_{q}^{Q} \frac{d\mu'}{\mu'} P\left(\alpha_s(\mu')\right) \right\}$$

- Factorization proofs:
 - (1) $\omega_{\rm SD}$ incoherent with long-distance dynamics
 - (2) Mutual incoherence when $v_{\rm rel}=c$: Jet-jet factorization Ward identities.
 - (3) Wide-angle soft radiation sees only total color flow: jet-soft factorization Ward identities: Wilson lines.
 - (4) Dimensionless coupling and renormalizability
 ⇔ no worse that logarithmic divergence in the IR:
 fractional power suppression ⇒ finiteness

- Classical: Lorentz contracted fields of incident particles don't overlap until the moment of the scattering, creation of heavy particle, etc.!
- Initial-state interactions decouple from the hard process
- Summarized by multiplicative factors:
 parton distributions
- Evolution of partons to jets/hadrons too late to know details of the hard scattering
- Summarized by multiplicative factors:
 fragmentation functions
- "Left-over" cross section for hard scattering is IR safe

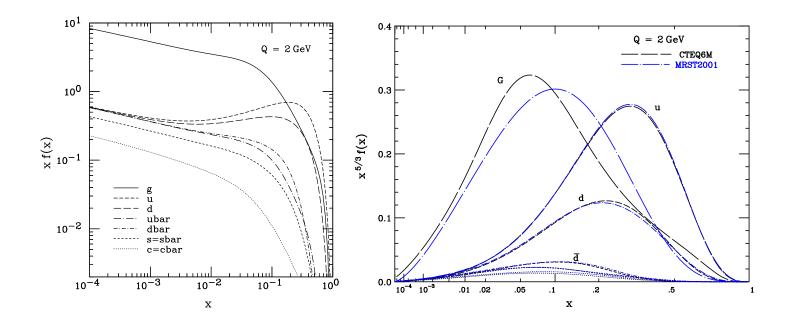
INCLUSIVE EW ANNIHILATION IN PQCD

$$\frac{d\sigma_{NN\to\mu^{+}\mu^{-}+X}(Q,p_{1},p_{2})}{dQ^{2}} = \int_{\xi_{1},\xi_{2}} \sum_{a=q\bar{q}} \frac{d\hat{\sigma}_{a\bar{a}\to\mu^{+}\mu^{-}(Q)+X}(Q,\mu,\xi_{1}p_{1},\xi_{2}p_{2})}{dQ^{2}} \times f_{a/N}(\xi_{1},\mu) f_{\bar{a}/N}(\xi_{2},\mu)$$

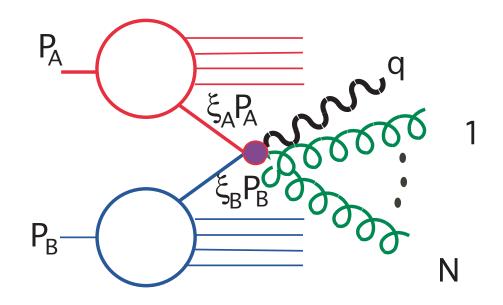
- μ is the factorization scale: separates IR from UV in quantum corrections. μ appears in $\hat{\sigma}$, as $\alpha_s(\mu)$ and as $\ln(\mu/Q)$ so choosing $\mu \sim Q$ can improve perturbative predictions
- Evolution: $\mu df(x,\mu)/d\mu = \int_x^1 P(x/\xi) \ f(\xi,\mu)$ makes energy extrapolations possible.

Two portraits of modern parton distributions

- * CTEQ6 as seen at moderate momentum transfer:
- * Two modern fits compared (note weighting with x)



• The factorized picture



sum N = 0 (PM) to infinity

• High- p_T radiation "has a place to go." The rest $(p_T < \mu)$ to the PDFs.

USING PQCD CORRECTIONS

The transverse momentum distribution at order α_s

Extend factorization to gluon radiation process:

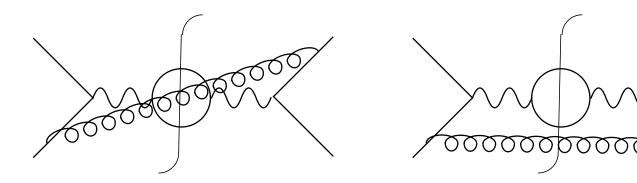
$$q(p_1) + \bar{q}(p_2) \rightarrow \gamma^*(Q) + g(k)$$
,

Treat this 2 \rightarrow 2 process at lowest order (α_s) "LO" in factorized cross section, so that $\mathbf{k} = -\mathbf{Q}$

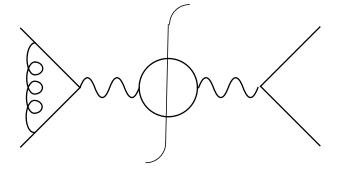
The result is well-defined for $\mathbf{Q}_T \neq 0$

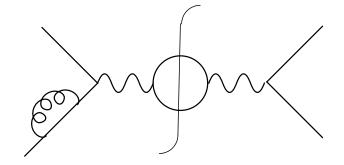
ullet The diagrams at order $lpha_s$

Gluon emission contributes at $Q_T \neq 0$



Virtual corrections contribute only at $Q_T = 0$





$$\frac{d^{2}\sigma_{q\bar{q}\to\gamma^{*}g}^{(1)}(z,Q^{2},\mathbf{Q}_{T})}{dQ^{2}d^{2}\mathbf{Q}_{T}} = \sigma_{0}\frac{\alpha_{s}C_{F}}{\pi^{2}}\left(1 - \frac{4\mathbf{Q}_{T}^{2}}{(1-z)^{2}\hat{s}}\right)^{-1/2} \times \left[\frac{1}{\mathbf{Q}_{T}^{2}}\frac{1+z^{2}}{(1-\mathbf{z})} - \frac{2z}{(1-\mathbf{z})Q^{2}}\right]$$

Fine as long as $\mathbf{Q}_T \neq 0$, $z = Q^2/S \neq 1$.

 Q_T integral $\to \ln(1-z)/(1-z)$, z integral $\to \ln(Q_T)/Q_T$.

Both off these limits can be dealt with by reorganization, "resummation" of higher order corrections

Fundamental application: the total cross section

Integrate over \mathbf{Q}_T at fixed $z=Q^2/S$. $Q_T\to 0$ is singular

Add diagrams with virtual gluons: $their Q_T$ integrals are singular

Remove (factor) low $\mathbf{k}_T = -\mathbf{Q}_T < \mu$ gluons

The remainder is now finite at fixed Q_T , $z \neq 1$. Combine with LO $\hat{\sigma}$.

But the left-over NLO $\hat{\sigma}$ is not a normal function of z!

Because $d\sigma/dQ^2$ begins at α_s^0 , this is next-to-leading order (NLO) here

ullet $\hat{\sigma}_{ar{q}q}$ for Drell-Yan at NLO

$$\frac{d^2 \hat{\sigma}_{q\bar{q} \to \gamma^* g}^{(1)}(z, Q^2, \mu^2)}{dQ^2} = \sigma_0(Q^2) \left(\frac{\alpha_s(\mu)}{\pi} \right) \left\{ 2(1+z^2) \left[\frac{\ln(1+z^2)}{1-z} \right]_+ - \frac{\left[(1+z^2) \ln z \right]}{(1-z)} + \left(\frac{\pi^2}{3} - 4 \right) \delta(1-z) \right\} + \sigma_0(Q^2) C_F \frac{\alpha_s}{\pi} \left[\frac{1+z^2}{1-z} \right]_+ \ln \left(\frac{Q^2}{\mu^2} \right)$$

- Plus distributions: "generalized functions" (c.f. delta function)
- μ -dependence: evolution for hadron-hadron scattering

What they are, how they work

$$\int_0^1 dx \, \frac{f(x)}{(1-x)_+} \quad \equiv \int_0^1 dx \, \frac{f(x) - f(1)}{(1-x)}$$

$$\int_0^1 dx \ f(x) \left(\frac{\ln(1-x)}{1-x} \right)_+ \equiv \int_0^1 dx \ (f(x) - f(1)) \ \frac{\ln(1-x)}{(1-x)}$$

and so on . . . where f(x) will be parton distributions

- f(x) term: real gluon, with momentum fraction 1-x
- \bullet f(1) term: virtual, with elastic kinematics

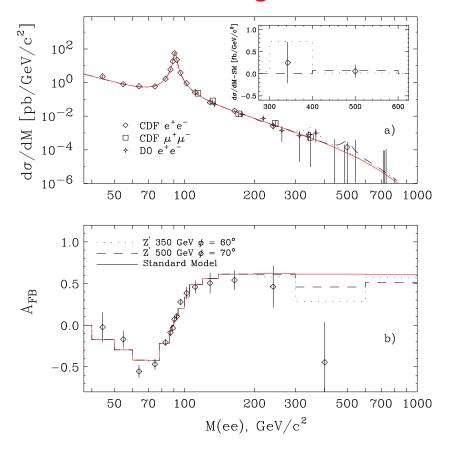
- A Special Distribution
- ullet DGLAP "evolution kernel" = "splitting function"

$$P_{qq}(x) = C_F \frac{\alpha_s}{\pi} \left[\frac{1+x^2}{1-x} \right]_+$$

Nonsinglet, leading order

Applications

ullet M-dependence for dileptons at high energy (γ and Z) & forward-backward asymmetry in $\sigma_{\rm Born}$ compared to NLO A test for "new" physics in the hard scattering



GETTING THE PDFs FROM DATA

W asymmetries at the Tevatron: d/u

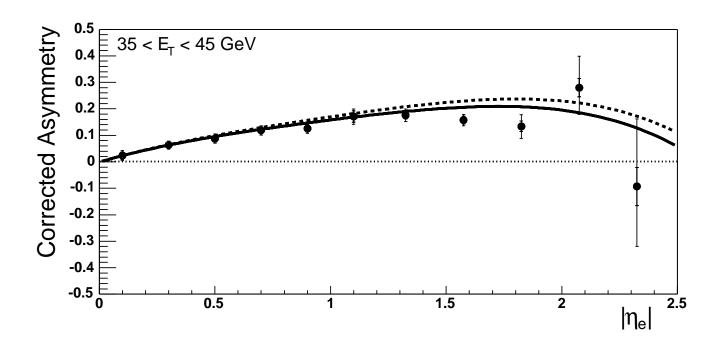
 W^+ requires $u\bar{d}$, W^- needs $\bar{u}d$

At LO, since $u_p = \bar{u}_{\bar{p}}$, etc.

$$\frac{d\sigma_{W^+}}{d\eta} = \frac{2\pi G_F}{\sqrt{2}} u_p(x_a = \sqrt{\tau}e^{\eta}) \ d_p(x_b = \sqrt{\tau}e^{-\eta})$$

Asymmetry tests d/u as a function of

$$A(y) \equiv \frac{\sigma_{W^{+}}(\eta) - \sigma_{W^{-}}(\eta)}{\sigma_{W^{+}}(\eta) + \sigma_{W^{-}}(\eta)} = \frac{u_p(x_a) d_p(x_b) - d_p(x_a) u_p(x_b)}{u_p(x_a) d_p(x_b) + d_p(x_a) u_p(x_b)}$$



(CDF Collaboration, Phys. Rev. D71, 051104 (2005) hep-ex/0501023)

ullet Foward fixed target DY $(au=M^2/S)$ and $ar{d}/ar{u}$

At LO,

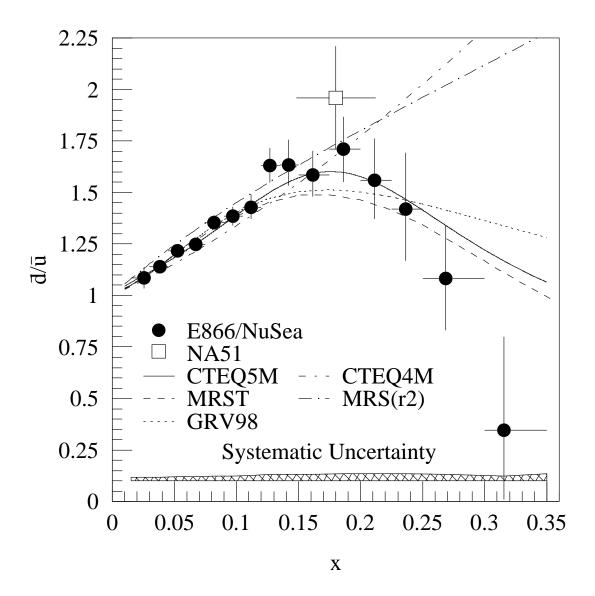
$$\frac{d\sigma_{pN}}{dM^2d\eta} = \left(\frac{4\pi\alpha_{\rm EM}^2}{9M^4}\right) \sum_a \lambda_a^2 f_{a/p}(\sqrt{\tau}e^{\eta}, M) f_{\bar{a}/N}(\sqrt{\tau}e^{-\eta}, M)$$

Large η ; a valence, \bar{a} sea: sensitivity to sea distribution

E866: compare pp and pd

$$\frac{\sigma_{pD}}{2\sigma_{pp}} \sim \frac{1}{2} \left(1 + \frac{\bar{d}_p(\sqrt{\tau}e^{-\eta})}{\bar{u}_p(\sqrt{\tau}e^{-\eta})} \right)$$

Previously unavailable information on the sea ratio



E866/NuSea Collaboration, Phys. Rev. D64, 052002 (2001) hep-ex/0103030

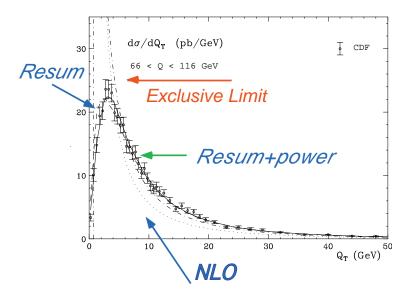
USING RESUMMATION: THE Q_T DISTRIBUTION

• Low Q_T Drell-Yan & Higgs at leading log (LL) $(\alpha_s^n \ln^{2n-1} Q_T)$

$$\frac{d\sigma(Q)}{dQ_T} \sim \frac{d}{dQ_T} \exp\left[-\frac{\alpha_s}{\pi}C_F \ln^2\left(\frac{Q}{Q_T}\right)\right]$$

$$(C_F = 4/3)$$

Double jet-soft factorization → double logs (from A. Kulesza, G.S., W. Vogelsang (2002)



• General features:

Maximum then decrease near "exclusive" limit (parton model kinematics) replaces divergence at $Q_T=0$

Soft but perturbative radiation broadens distribution

Typically nonperturbative correction necessary for full quantitative description

Recover fixed order predictions $\sigma^{(1)}$ away from exclusive limit

Generally requires (Fourier) transform (impact parameter) to go beyond leading log

PUTTING IT ALL TOGETHER: OBSERVED HADRONS

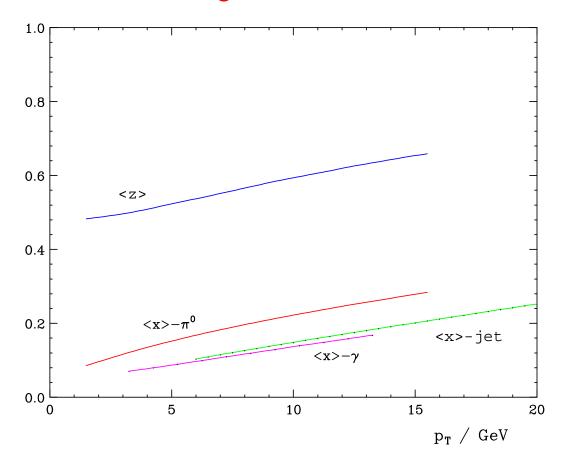
• Pions at fixed target and RHIC (Vogelsang and de Florian, 2004)

$$\frac{p_T^3 d\sigma(x_T)}{dp_T} = \sum_{a,b,c} \int_0^1 dx_1 f_{a/H_1}(x_1, \mu_F^2) \int_0^1 dx_2 f_{b/H_2}(x_2, \mu_F^2) \\
\times \int_0^1 dz \, z^2 D_{h/c}(z, \mu_F^2) \\
\times \int_0^1 d\hat{x}_T \, \delta\left(\hat{x}_T - \frac{x_T}{z\sqrt{x_1 x_2}}\right) \int_{\hat{\eta}_-}^{\hat{\eta}_+} d\hat{\eta} \, \frac{\hat{x}_T^4 \, \hat{s}}{2} \frac{d\hat{\sigma}_{ab \to cX}(\hat{x}_T^2, \hat{\eta})}{d\hat{x}_T^2 d\hat{\eta}}$$

 $\hat{\eta}$: pseudorapidity at parton level

$$\hat{\eta}_{+} = -\hat{\eta}_{-} = \ln\left[(1 + \sqrt{1 - \hat{x}_{T}^{2}})/\hat{x}_{T} \right]$$

Averages for distribution x and fragmentation z's



RHIC 200 GeV midrapidity average z for pions, and average x for pions, photons, jets (NLO) Thanks to Werner Vogelsang

- As for the DY Q_T distribution: collinear $f + D + \text{soft} \Rightarrow \text{double logs}$

$$\frac{\hat{s} \, d\hat{\sigma}_{ab \to cX}^{(1)}(v, w)}{dv \, dw} \approx \frac{\hat{s} \, d\hat{\tilde{\sigma}}_{ab \to cd}^{(0)}(v)}{dv} \left[A' \, \delta(1 - w) + B' \, \left(\frac{\ln(1 - w)}{1 - w} \right)_{+} \right] + C' \, \left(\frac{1}{1 - w} \right)_{+}$$

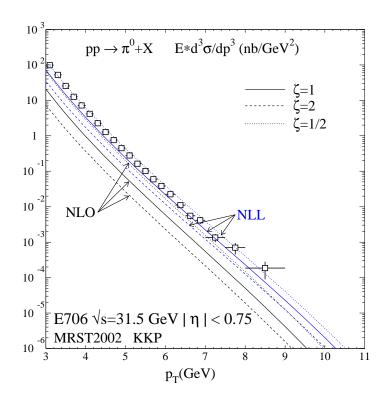
- 1) For resummation, take x_T^{2N} moments:

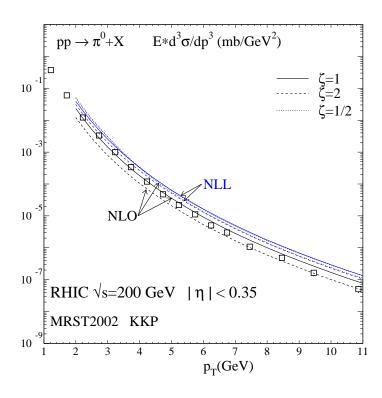
$$\hat{\sigma}_{ab\to cd}^{(\text{res})}(N) = C_{ab\to cd} \, \Delta_N^a \, \Delta_N^b \, \Delta_N^c \, J_N^d \, \left[\sum_I G_{ab\to cd}^I \, \Delta_{IN}^{(\text{int})ab\to cd} \right] \, \hat{\sigma}_{ab\to cd}^{(\text{Born})}(N)$$

– 2) A typical resummed factor

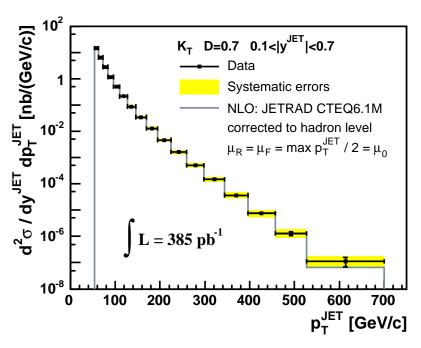
$$\Delta_N^a = \exp\left[\int_0^1 \frac{z^{N-1}-1}{1-z} \int_{\mu_{FI}^2}^{(1-z)^2 Q^2} \frac{dq^2}{q^2} A_a(\alpha_s(q^2))\right]$$
$$A = C_F(\alpha_s/\pi) + \dots$$

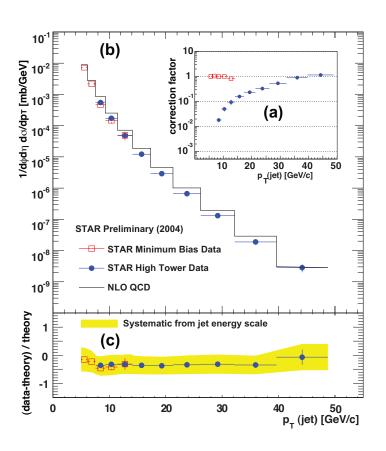
Invert the moments: resolve a long-standing fixed-target/collider contrast!





And jets at the Tevatron, and now the RHIC





Nicely settled down.

Conclusions as Prologue

- pQCD formalism works well in pp collisions (not least from RHIC data/theory interplay)
- pQCD revolves around factorization and energy flow
- Multiple interactions induce corrections to factorized cross sections typically (number of partons) \times (soft scale/hard scale)²
- Induced radiation redistributes energy flow
- Centrality in AA collisions a control parameter for these corrections

- Heavy quark/quarkonia production of special sensitivity
- Nuclear collisions shed light on pQCD and vice-versa
- Enjoy the conference!